

I. Account and Applicant Information

Advisor Information

Name of Your Financial Advisor	Name of Advisor's Firm (if any)
<input type="text"/>	<input type="text"/>

Type of Account

Country of Legal Residence	State/Province
<input type="text"/>	<input type="text"/>

Account Type

- Partnership
 Corporation
 Limited Liability Company
 Unincorporated Business

Please select only one of the following:

- The Proprietary Trading Group is an operating business and was not formed primarily for the purpose of trading or holding financial assets.

If you checked the above box, please provide the following:

Business Description (Please be specific. We cannot accept "general business", "general consulting", etc...):

- The Proprietary Trading Group is a personal or family investment vehicle. The Proprietary Trading Group is beneficially owned by a single person or close family members and is organized for the purpose of making financial investments for the owner and/or the owner's close family.

If you checked the above box, please provide the following:

Name of primary contributor/owner of the personal/family investment vehicle:

Occupation (please be specific):

<input type="text"/>	<input type="text"/>
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Employer:

Employer's Address:

Source of funds invested in the vehicle (please be specific):

Name of Administrator or Corporate Services Provider for personal/family investment vehicle (if any):

Address of Administrator (if any):

Contact person at Administrator (if any):

Phone Number of contact (if any):

<input type="text"/>	<input type="text"/>
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- The Proprietary Trading Group is a closely-held proprietary trading company (or corporation or similar vehicle). The Proprietary Trading Group is beneficially owned by a small group of people and is organized for the purpose of proprietary trading.

If you checked the above box, please provide the following:

Do any of the owners/investors of the Proprietary Trading Group reside in the U.S.?

Yes No

Does the Proprietary Trading Group solicit owners/investors who reside in the U.S.?

Yes No

Would the Proprietary Trading Group accept owners/investors who reside in the U.S.?

Yes No

- The Proprietary Trading Group is a financial institution (bank, broker-dealer, etc) that will be trading for its own account and the account does not contain funds of customers or investors.

If you checked the above box, please provide the following:

By checking this box, applicant confirms and certifies that the Proprietary Trading Group's account will not contain customer or investor funds.

Confirmed Not Confirmed

The Proprietary Trading Group is a hedge fund, mutual fund or similar collective investment vehicle containing investor or customer funds.

If you checked the above box, **STOP**: Please do not use this application if your Proprietary Trading Group is a hedge fund, mutual fund or other collective investment vehicle containing investor or customer funds. Interactive Brokers has a separate and specific application form for these account types. Please ask your IB Sales Representative or visit the IB website at www.interactivebrokers.com.

Choose a Base Currency for the Account:

- United States Dollar USD
- Australian Dollar AUD
- British Pound GBP
- Canadian Dollar CAD
- Euro EUR
- Hong Kong Dollar HKD
- Japanese Yen JPY
- Mexican Peso MXN
- Swedish Krona SEK
- Swiss Frank CHF

Choose Cash or Margin Account (choose one)

Cash

Must have enough cash in the account to cover the cost of the transaction plus commissions. No shorting of stock or futures day trading benefits (50% of regular margin requirement during the day on certain exchanges). Limited purchase and sale of options and trading is only allowed for products denominated in the base currency of the account. Cash from the sale of stocks, options and futures becomes available when the transaction settles (e.g. US stock - 3 days, German stocks- 2 days, US options- 1 day).

Reg T Margin

Borrowing to support equities trading, shorting of equities, full options trading, full futures/futures options trading, currency conversions, and securities/commodities trading in multiple currency denominations available. Margin requirements are computed real-time under a rules-based calculation methodology, with immediate position liquidation if the minimum maintenance margin requirement is not met. Purchase and sale proceeds are immediately recognized.

Portfolio Margin

Same as a Reg T margin account (see above) except that margin requirements generally will be lower in a portfolio margin account because margin requirements will be calculated based on a risk based calculation methodology. Customers must maintain account equity of USD 100,000 in order to utilize a portfolio margin account. Otherwise Reg T margin requirements will apply.

Account Trading Permissions

Please check all the products that you may want to trade in your account. You and your advisor also will have the ability to add additional products after your account has been opened.

	Stocks	Fixed Income	Warrants	Options (Stock & Index)	Futures	Futures Options	Single Stock Futures	FOREX (Foreign Exchange)
Global								<input type="checkbox"/>
Australia	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>		
Belgium	<input type="checkbox"/>				<input type="checkbox"/>			
Canada	<input type="checkbox"/>				<input type="checkbox"/>			
France	<input type="checkbox"/>				<input type="checkbox"/>			
Germany	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>		
Hong Kong	<input type="checkbox"/>				<input type="checkbox"/>			
Italy					<input type="checkbox"/>			
Japan	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>		
Korea					<input type="checkbox"/>			
Mexico	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>		
Singapore					<input type="checkbox"/>	<input type="checkbox"/>		
Spain	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>		
Sweden	<input type="checkbox"/>				<input type="checkbox"/>			
Switzerland	<input type="checkbox"/>				<input type="checkbox"/>			
The Netherlands	<input type="checkbox"/>				<input type="checkbox"/>			
United Kingdom	<input type="checkbox"/>				<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
United States	<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
United States (Algorithmic Execution Venue)	<input type="checkbox"/>							
United States (Floor Based Exchanges)					<input type="checkbox"/>	<input type="checkbox"/>		

Notice Regarding Anti-Money Laundering and Customer Identification Procedures: To help the U.S. government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity who opens an account. We are required by law to ask you to provide your name, address, date of birth and other information about you, your organization or persons related to your organization that will allow us to identify you before we approve your account. We also will ask you to provide certain identifying documents, such as your driver's license or passport or your organization's articles of incorporation and may obtain credit and other consumer reports to assist us in verifying your identity and in determining whether you satisfy our account criteria. Unless you provide the requested information and documentation, we may not be able to open your account. By applying for an IB account, you agree to provide accurate and truthful information as requested by IB and you consent to IB's acquisition of credit and other consumer reports about you for the purposes described above.

Identification

Proprietary Trading Group Name:	
<input type="text"/>	
Description of the Proprietary Trading Group's Business:	
<input type="text"/>	
<input type="text"/>	
Proprietary Trading Group's Tax or Government-Issued Identification Number:	Country that Issued the Proprietary Trading Group's Tax or Identification Number:
<input type="text"/>	<input type="text"/>

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Principal Place of Business of the Proprietary Trading Group (no P.O. boxes or "in care of" addresses allowed)

NOTE: Principal place of business is defined as the principal location of the firm where its officers and employees are located and where day-to-day operations are conducted.

If the Proprietary Trading Group was created in an offshore jurisdiction or uses the services of a registered agent, and no actual officers or employees are located at the offshore or registered agent address, please do **NOT** list this address as the firm's principal place of business. Rather, you should list the address from which operations are conducted and where officers are physically located.

Street Address

--

City

--

State/Province

--

Country

--

Zip/Postal Code

--

Primary Phone

--

Secondary Phone (optional)

--

Fax

--

Website Address, if any

--

E-Mail Address for Account Correspondence

--

Confirmation of E-Mail Address

--

Mailing Address (if different from business address)

Street Address

--

City

--

State/Province

--

Country

--

Zip/Postal Code

--

Name of Person Authorized to Complete Application and Sign Customer Agreements on behalf of the Proprietary Trading Group

Name (First)

--

(Middle Initial)

--

(Last)

--

Suffix (Optional)

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Please pick a security question from the list below and provide the answer to that question so that we may use it in the future for verification purposes:

- What is your mother's maiden name?
- What town were you born in?
- What is your favorite pet's name?
- What street did you grow up on?
- What is your favorite vacation spot?

Answer:

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Controlling Officer Information

Name (First)	(Middle Initial)	(Last)	Suffix (Optional)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

NOTE: If the Proprietary Trading Group is a U.S. publicly-traded company or is registered with a U.S. financial regulatory agency (SEC, CFTC, etc), you do NOT need to provide the following information.

Title	Primary Phone
<input type="text"/>	<input type="text"/>

Fax	Secondary Phone (optional)
<input type="text"/>	<input type="text"/>

E-Mail Address	Confirmation of E-Mail Address
<input type="text"/>	<input type="text"/>

Street Address (no P.O. boxes or "in care of" addresses allowed)

City	State/Province
<input type="text"/>	<input type="text"/>

Country	Zip/Postal Code
<input type="text"/>	<input type="text"/>

Date of Birth(mm/dd/yyyy)	Country of Citizenship
<input type="text"/>	<input type="text"/>

If you are a U.S. citizen, a U.S. resident or have a U.S Social Security Number, you must provide a Social Security Number:

If you are not a U.S. resident, please check which form of identification you will provide to Interactive Brokers (you must provide a copy of one of the following):

- Driver's License
- Alien Identification Card
- Passport
- National Identity Card

Country of Issuance:

Number:

NOTE: If the Proprietary Trading Group is a U.S. publicly-traded company or is registered with a U.S. financial regulatory agency (SEC, CFTC, etc), you do NOT need to provide the following information.

Owner Information

Please list any individual or entity that owns a 20% or greater share in the Proprietary Trading Group.

First 20% or Greater Shareholder (individual or entity)	Second 20% or Greater Shareholder (individual or entity)
Owner's Name <input type="text"/>	Owner's Name <input type="text"/>
Percentage of Ownership <input type="text"/>	Percentage of Ownership <input type="text"/>
Date of Birth (mm/dd/yyyy) (if an individual) <input type="text"/>	Date of Birth (mm/dd/yyyy) (if an individual) <input type="text"/>
Street Address <input type="text"/>	Street Address <input type="text"/>
City <input type="text"/>	City <input type="text"/>
State/Province <input type="text"/>	State/Province <input type="text"/>
Country <input type="text"/>	Country <input type="text"/>
Zip/Postal Code <input type="text"/>	Zip/Postal Code <input type="text"/>
Primary Phone (with area code) <input type="text"/>	Primary Phone (with area code) <input type="text"/>
Secondary Phone (optional) <input type="text"/>	Secondary Phone (optional) <input type="text"/>
Fax No. (with area code) <input type="text"/>	Fax No. (with area code) <input type="text"/>
Country of Citizenship or Formation (if entity) <input type="text"/>	Country of Citizenship or Formation (if entity) <input type="text"/>
If shareholder is an individual and is a U.S. citizen, a U.S. resident or has a U.S Social Security Number, provide owner's Social Security Number: <input type="text"/>	If shareholder is an individual and is a U.S. citizen, a U.S. resident or has a U.S Social Security Number, provide owner's Social Security Number: <input type="text"/>
If shareholder is an individual and is <u>not</u> a U.S. resident, please check which form of identification owner uses: <input type="checkbox"/> Driver's License <input type="checkbox"/> Alien Identification Card <input type="checkbox"/> Passport <input type="checkbox"/> National Identity Card	If shareholder is an individual and is <u>not</u> a U.S. resident, please check which form of identification owner uses: <input type="checkbox"/> Driver's License <input type="checkbox"/> Alien Identification Card <input type="checkbox"/> Passport <input type="checkbox"/> National Identity Card

**First 20% or Greater Shareholder
(individual or entity)**

Country of Issuance:

Number:

Tax ID No. (if shareholder is an entity):

Country of Issuance:

**Second 20% or Greater Shareholder
(individual or entity)**

Country of Issuance:

Number:

Tax ID No. (if shareholder is an entity):

Country of Issuance:

**Third 20% or Greater Shareholder
(individual or entity)**

Owner's Name

Percentage of Ownership

Date of Birth (mm/dd/yyyy) (if an individual)

Street Address

City

State/Province

Country

Zip/Postal Code

Primary Phone (with area code)

Secondary Phone (optional)

Fax No. (with area code)

Country of Citizenship or Formation (if entity)

**Fourth 20% or Greater Shareholder
(individual or entity)**

Owner's Name

Percentage of Ownership

Date of Birth (mm/dd/yyyy) (if an individual)

Street Address

City

State/Province

Country

Zip/Postal Code

Primary Phone (with area code)

Secondary Phone (optional)

Fax No. (with area code)

Country of Citizenship or Formation (if entity)

**Third 20% or Greater Shareholder
(individual or entity)**

If shareholder is an individual and is a U.S. citizen, a U.S. resident or has a U.S. Social Security Number, provide owner's Social Security Number:

If shareholder is an individual and is **not** a U.S. resident, please check which form of identification owner uses:

- Driver's License
- Alien Identification Card
- Passport
- National Identity Card

Country of Issuance:

Number:

Tax ID No. (if shareholder is an entity):

Country of Issuance:

**Fourth 20% or Greater Shareholder
(individual or entity)**

If shareholder is an individual and is a U.S. citizen, a U.S. resident or has a U.S. Social Security Number, provide owner's Social Security Number:

If shareholder is an individual and is **not** a U.S. resident, please check which form of identification owner uses:

- Driver's License
- Alien Identification Card
- Passport
- National Identity Card

Country of Issuance:

Number:

Tax ID No. (if shareholder is an entity):

Country of Issuance:

**Fifth 20% or Greater Shareholder
(individual or entity)**

Owner's Name

Percentage of Ownership

Date of Birth (mm/dd/yyyy) (if an individual)

Street Address

City

State/Province

Country

Zip/Postal Code

**Fifth 20% or Greater Shareholder
(individual or entity)**

Primary Phone (with area code)

Secondary Phone (optional)

Fax No. (with area code)

Country of Citizenship or Formation (if entity)

If shareholder is an individual and is a U.S. citizen, a U.S. resident or has a U.S Social Security Number, provide owner's Social Security Number:

If shareholder is an individual and is not a U.S. resident, please check which form of identification owner uses:

- Driver's License
- Alien Identification Card
- Passport
- National Identity Card

Country of Issuance:

Number:

Tax ID No. (if shareholder is an entity):

Country of Issuance:

Account Financial Background

Investment Objectives and Intended Purpose of Trading (check all that apply).

NOTE: To be approved for option or margin trading, you must select "Speculation" or "Trading Profits" as one of your investment objectives.

Preservation of Capital Income Growth Trading Profits Speculation Hedging

Investment Experience (please fill in all blanks)

	Years Trading Experience	Number of Trades Per Year	Knowledge Level (check one for each product type)		
Stocks	<input type="text"/>	<input type="text"/>	<input type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Bonds	<input type="text"/>	<input type="text"/>	<input type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Options	<input type="text"/>	<input type="text"/>	<input type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Futures	<input type="text"/>	<input type="text"/>	<input type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited
Forex	<input type="text"/>	<input type="text"/>	<input type="radio"/> Extensive	<input type="radio"/> Good	<input type="radio"/> Limited

Estimated Net Worth (in base currency, exclude value of residence)

Estimated Liquid Net Worth (in base currency, exclude residence)

Annual Net Income (in base currency)

Total Assets (in base currency)

Accredited Investor Qualification Information

Please answer the questions below only if your Estimated Net Worth is equal to or greater than 5,000,000.00 USD.

Is your Proprietary Trading Group a broker or dealer registered pursuant to section 15 of the Securities Exchange Act of 1934?	<input type="radio"/> Yes <input type="radio"/> No
Is your Proprietary Trading Group a corporation, a partnership, a limited liability company, a Massachusetts or similar business trust, or an organization described in Section 501(c)(3) of the Internal Revenue Code, in each case not formed for the specific purpose of acquiring the securities offered, with total assets in excess of \$5,000,000?	<input type="radio"/> Yes <input type="radio"/> No
Is your Proprietary Trading Group a trust, in each case, not formed for the specific purpose of acquiring certain debt and equity securities being offered, with total assets in excess of \$5,000,000, whose purchase of securities is directed by a sophisticated person with such knowledge and experience in financial and business matters that such person is capable of evaluating the merits and risks of any prospective investment?	<input type="radio"/> Yes <input type="radio"/> No
Is your Proprietary Trading Group a bank as defined in section 3(a)(2) of the Securities Act of 1933 ("Act"), a savings and loan association or other institution as defined in section 3(a)(5)(A) of the Act whether acting in its individual or fiduciary capacity?	<input type="radio"/> Yes <input type="radio"/> No
I confirm under penalties of law that the foregoing answers are true and correct. I agree that if I am granted access to IB's Private Placement Site, I shall keep confidential and not provide to any other person or entity, any information made available to me on the IB Private Placement Site.	<input type="radio"/> Yes <input type="radio"/> No

Regulatory Information

Is the Proprietary Trading Group registered, licensed, or acting as any of the following, or would the Proprietary Trading Group be considered any of the following if it were located in the US:

A foreign bank
 (A "foreign bank" is a bank that is organized under non-U.S. law and is located outside the U.S. Foreign banks include, but are not limited to, the offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions and other organizations chartered under banking laws and supervised by the banking supervisors of any state.)

A securities broker or dealer
 (Securities brokers include, but are not limited to, organizations that: are members of a securities exchange, securities market or clearing house; underwrite securities or otherwise help to bring new issues to market; formally act as market makers either on an exchange, trading system or otherwise; hold themselves out as promoting liquidity to the market; are looked to as a source of liquidity to market professionals or to the public; or advertise or otherwise let others know that they are in the business of buying and selling securities)

A futures commission merchant (FCM) or futures broker
 (Futures brokers include, but are not limited to, organizations that solicit or accept orders to purchase or sell futures or commodity option contracts or that accept money, securities or property to margin, guarantee or secure solicited or accepted futures trades or contracts.)

An introducing or omnibus broker

A branch or office, located outside of the US, of: an SEC-registered broker dealer; an SEC-registered mutual fund; or a CFTC-registered commodities broker

A mutual fund, open-end investment company, hedge fund, private equity fund, venture capital fund, commodity pool, or any other type of investment vehicle or fund

A money transmitter
 (Money transmitters include but are not limited to, organizations that are in the business of accepting currency or funds denominated in currency and transmitting the currency, funds or value thereof through a financial agency, financial institution, the Federal Reserve Bank or an electronic funds network or are otherwise engaged in the business of transferring funds.)

A currency dealer or exchanger

A bank

Yes No Is the Proprietary Trading Group a publicly-held corporation that is traded on a regulated U.S. or foreign stock exchange?
 If Yes,
 Name of the exchange on which the shares of the Proprietary Trading Group are traded:

 The stock symbol:

Yes No Is the Proprietary Trading Group regulated in the U.S. or in any other country?
 If Yes, please list up to three:

Regulator Name	Regulator's Country	Registration Capacity	Registration/License Number
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Yes No Is the Proprietary Trading Group engaged in the brokerage business (e.g., is the Proprietary Trading Group registered, authorized or operating as a futures commission merchant, or securities or futures brokerage firm in the US, UK, Canada or elsewhere)
 If Yes, please provide details:

Yes No Is the Proprietary Trading Group, pursuant to National Futures Association By-law 1101, required to be registered with U.S. Commodity Trading Commission or registered with the IDA as a futures commission merchant, introducing broker, commodity pool operator, commodity trading a leverage transaction merchant or in any other country?
 If Yes, please provide details:

<input type="radio"/> Yes <input type="radio"/> No	Is the Proprietary Trading Group a member of an exchange or a regulatory or self-regulatory organization or an associated or affiliated person of an exchange member? If Yes, Name of Exchange(s): <div style="border: 1px solid black; height: 20px; width: 100%;"></div> Name of Regulatory or Self Regulatory Organization(s): <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<input type="radio"/> Yes <input type="radio"/> No	Has the Proprietary Trading Group or any of its officers or authorized traders ever been the subject of, or initiated, litigation, arbitration or any other type of dispute or settlement proceeding with another broker or dealer? If yes, please provide details, including the disposition of the proceeding: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<input type="radio"/> Yes <input type="radio"/> No	Has the Proprietary Trading Group or any of its officers or authorized traders ever been the subject of an investigation or proceeding by any commodities or securities exchange or any regulatory or self-regulatory authority? If yes, please provide details, including the disposition of the investigation or proceeding: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<input type="radio"/> Yes <input type="radio"/> No	Have any of the principals, officers or authorized traders of the Proprietary Trading Group ever been arrested for, or convicted of, a crime?
<input type="radio"/> Yes <input type="radio"/> No	Does the Proprietary Trading Group control or own more than 10% of the shares of any publicly-traded company? If yes, enter stock symbol(s) of the companies, separated by commas: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<input type="radio"/> Yes <input type="radio"/> No	Does the Proprietary Trading Group or do any of its officers or authorized traders control the trading in, or have a beneficial ownership interest in, any other Interactive Brokers accounts? If yes, enter the IB user names of these accounts, separated by commas: <div style="border: 1px solid black; height: 20px; width: 100%;"></div>
<input type="radio"/> Yes <input type="radio"/> No	Is any officer or authorized trader of the organization registered as a broker or an employee, director or owner of a securities or commodities brokerage firm?

Foreign Bank

Please answer the below questions only if you have selected "a bank" or "a foreign bank" above.

Is your firm operating under a banking license that has been issued by any of the following countries: Afghanistan, Angola, Belarus, Congo, Cote d'Ivoire, Cuba, Democratic Peoples Republic of Korea (North Korea), Democratic Republic of the Congo, Iran (Islamic Republic of), Iraq, Liberia, Libyan Arab Jamahiriya, Macao Special Administrative Region of China, Myanmar, Nauru, Nigeria, Sierra Leone, Sudan, Syrian Arab Republic, Uzbekistan, The former Yugoslav Republic of Macedonia, or Zimbabwe.	<input type="radio"/> Yes <input type="radio"/> No
Is your firm operating under an "offshore banking license"? An "offshore banking license" is a license to conduct banking activities that prohibits the licensed entity from conducting banking activities with the citizens of, or with the local currency of, the country which issued the license.	<input type="radio"/> Yes <input type="radio"/> No
The firm hereby certifies that it will immediately contact IB in writing via an email addressed to newaccounts@interactivebrokers.com (Please put "Change in Foreign Bank Status" in the subject line) in the event that the firm begins to operate under a banking license that: <ul style="list-style-type: none"> a. Is an "offshore banking license" (as defined above); b. Has been issued by a foreign country that has been designated as an NCCT country by the FATF; or c. Has been issued by a foreign country that has been designated by the Secretary of the U.S. Department of the Treasury as warranting special measures due to money laundering concerns. 	<input type="radio"/> Yes <input type="radio"/> No

V. PROVIDE REQUIRED PROOF OF IDENTITY AND ADDRESS FOR ALL ACCOUNT HOLDERS

Regulations require Interactive Brokers to obtain documentation verifying the identity of each of its customers.

For each account holder, we are required to obtain:

A. Proof of the customer's identity

B. A separate document showing proof of the customer's residential address (cannot be a P.O. Box).

Please submit a proof of identity document and a separate proof of address document for each account holder (two total documents for an individual account and four total documents for a joint account). Please make sure all documents are clear and readable.

Please see further instructions below:

Document:	Please send:	Instructions:
<input type="checkbox"/> Proof of Existence	Partnership Agreement - OR	The document submitted must clearly state the name of the applicant as it appears on its account application and be certified or notarized.
	Government-issued Business License - OR	The document submitted must clearly state the name of the applicant as it appears on its account application.
	Certificate of Good Standing	The document submitted must clearly state the name of the applicant and be issued by the entity's jurisdiction of organization.
<input type="checkbox"/> Proof of Principal Place of Business Address	Utility Bill - OR	For example, a bill for electricity, water, telephone or gas service (mobile phone bills are not acceptable), a bank statement that clearly shows the person's full name and business address and that is less than 1 year old. The bill must clearly show the entity's full name and business address and must be less than 1 year old.
	Lease - OR	The lease must clearly state the entity's full name and business address.
	Evidence of Ownership of Property - OR	For example, a mortgage statement or deed. The document must clearly show the entity's name and business address.
	Other Acceptable Documents	Any of the documents that are acceptable as proof of the entity's existence, if the document is not also being submitted for that purpose.
<input type="checkbox"/> Proof of Ability to Trade on Margin	Partnership Agreement - OR	The document must clearly authorize the applicant to conduct margin trading. Please circle and bold the authorization language.
	Partnership Resolution	The document must clearly authorize the applicant to conduct margin trading. Please circle and bold the authorization language.
<input type="checkbox"/> Foreign Bank Certification	Foreign Bank Certification Form	Please complete the Foreign Bank Certification available during the registration process, print and mail to IB.
<input type="checkbox"/> Financial Statement	Bank Statement Broker Statement Mortgage Statement Loan Statement	The document must clearly state the name of the firm and be less than 12 months old. This requirement applies only to organizations and trusts that have been formed in or are located in countries that are not full members of the Financial Action Task Force (FATF).
<input type="checkbox"/> Description of nature of business	Articles of Incorporation	The documents must clearly state the nature and purpose of the firm's business. Please circle the section the business description is referenced.
	Government-issued Business License	
	Corporate Charter	
	Bylaws	

VI. RETURN YOUR COMPLETED APPLICATION (ALONG WITH REQUIRED DOCUMENTS) TO YOUR ADVISOR OR TO INTERACTIVE BROKERS

Please complete all information required in this application, provide all required signatures, and return the entire application to your Financial Advisor or directly to Interactive Brokers.

Please make sure to include copies of all required documents listed above, as well as a check (if you are opening your account with a check).

If your advisor has instructed you to send your application directly to Interactive Brokers, you may use any of the methods below:

<p>Scan and Email (This is the fastest method)</p>	<p>newaccounts@interactivebrokers.com</p> <p>Please note: The scanning and emailing option is typically the most efficient method, and will make account review quicker. Please be aware of the following guidelines and limitations when sending us e-mails or scanned document attachments.</p> <p>Please send emails as text rather than HTML format. If possible, users of Microsoft Outlook emailer should disable RFT (rich-text format) encoding.</p> <p>Only the following attachment types are accepted: .gif .tiff .tif .jpeg .jpg .png .bmp .pdf.</p> <p>Please limit the size attachment to 10MB.</p> <p>Please limit the size of e-mail text to 2,000 characters. Emails longer than that will be truncated.</p> <p>When sending a document by email, please only include your account number in the Subject line of your email. This will allow the Interactive Brokers system to automatically post your email (with any attachments) to your application.</p>
<p>Courier/Overnight Service (e.g. Fed Ex) Mailing your documentation via overnight carrier is also a choice, but please keep in mind that the processing time for approval of the account may take longer than if sending by email or fax.</p>	<p>Interactive Brokers LLC Document Processing 209 South LaSalle Street, 10th Floor Chicago, IL 60604</p>
<p>Fax</p>	<p>(312) 984-1017</p> <p>Please note: Scanning and emailing required documents or sending documents by Courier/Overnight service are the best methods of sending documents. If you prefer to send documents via fax, please observe the following guidelines:</p> <p>Please enlarge documents to at least 125% of the normal size (up to 3/4 page-size) so we can read the document.</p> <p>Please lighten the documents by one or two increments when copying or faxing.</p> <p>As a general rule, before faxing, please make sure that all text is large and clear, and legible.</p>
<p>Mail Mailing your documentation through the regular postal service is also a choice, but please keep in mind that the processing time for approval of the account may take longer than if sending by email or fax.</p>	<p>Interactive Brokers LLC Document Processing 209 South LaSalle Street, 10th Floor Chicago, IL 60604</p>

Should you have any questions, please contact your IB Sales Representative or send us an email addressed to newaccounts@interactivebrokers.com or call:

1-877-4IB-BRKR (877-442-2757) or +1-312-542-6901.

Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding

» Section References are to the Internal Revenue Code. » See separate instructions.
» Give this form to the withholding agent or payer. Do not send to the IRS.

Do not use this form for:

Instead, use Form:

- A U.S. citizen or other U.S. person, including a resident alien individual..... **W-9**
- A person claiming that income is effectively connected with the conduct of a trade or business in the United States..... **W-8ECI**
- A foreign partnership, a foreign simple trust, or a foreign grantor trust (see instructions for exceptions).... **W-8ECI or W-8IMY**
- A foreign government, international organization, foreign central bank of issue, foreign tax-exempt organization, foreign private foundation, or government of a U.S. possession that received effectively connected income or that is claiming the applicability of section(s) 115(2), 501(c), 892, 895, or 1443(b) (see instructions)..... **W-8ECI or W-8EXP**

Note: These entities should use Form W-8BEN if they are claiming treaty benefits or are providing the form only to claim they are a foreign person exempt from backup withholding.

- A person acting as an intermediary..... **W-8IMY**

Note: See instructions for additional exceptions.

Part I Identification of Beneficial Owner (See instructions.)

1 Name of individual or organization that is the beneficial owner	2 Country of incorporation or organization
<input type="text"/>	<input type="text"/>

3 Type of beneficial owner:

<input type="checkbox"/> Individual	<input type="checkbox"/> Corporation	<input type="checkbox"/> Disregarded entity	<input type="checkbox"/> Partnership	<input type="checkbox"/> Simple trust
<input type="checkbox"/> Grantor trust	<input type="checkbox"/> Complex trust	<input type="checkbox"/> Estate	<input type="checkbox"/> Government	<input type="checkbox"/> International organization
<input type="checkbox"/> Central bank of issue	<input type="checkbox"/> Tax-exempt organization	<input type="checkbox"/> Private foundation		

4 Permanent residence address (street, apt. or suite no., or rural route). Do not use a P.O. box or in-care-of address.

City or town, state or province. Include postal code where appropriate.	Country (do not abbreviate)
<input type="text"/>	<input type="text"/>

5 Mailing address (if different from above)

City or town, state or province. Include postal code where appropriate.	Country (do not abbreviate)
<input type="text"/>	<input type="text"/>

6 U.S. taxpayer identification number, if required (see instructions)	7 Foreign tax identifying number, if any (optional)
<input type="text"/>	<input type="text"/>
<input type="checkbox"/> SSN or ITI <input type="checkbox"/> EIN	

8 Reference number(s) (see instructions)

Part II Claim of Tax Treaty Benefits (if applicable)

- 9 I certify that (check all that apply):
- a The beneficial owner is a resident of within the meaning of the income tax treaty between the United States and that country.
 - b If required, the U.S. taxpayer identification number is stated on line 6 (see instructions).
 - c The beneficial owner is not an individual, derives the item (or items) of income for which the treaty benefits are claimed, and, if applicable, meets the requirements of the treaty provision dealing with limitation on benefits (see instructions).
 - d The beneficial owner is not an individual, is claiming treaty benefits for dividends received from a foreign corporation or interest from a U.S. trade or business of a foreign corporation, and meets qualified resident status (see instructions).
 - e The beneficial owner is related to the person obligated to pay the income within the meaning of section 267(b) or 707(b), and will file

Form 8833 if the amount subject to withholding received during a calendar year exceeds, in the aggregate, \$500,000.

1 0Special rates and conditions (if applicable—see instructions): The beneficial owner is claiming the provisions of Article

of the treaty identified on line 9a above to claim a

% rate of withholding on (specify

type of income):

Explain the reasons the beneficial owner meets the terms of the treaty article:

Part III Claim of Tax Treaty Benefits (if applicable)

I I have provided or will provide a statement that identifies those notional principal contracts from which the income is not effectively connected with the conduct of a trade or business in the United States. I agree to update this statement as required.

Part IV Certification

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the beneficial owner (or am authorized to sign for the beneficial owner) of all the income to which this form relates,
- The beneficial owner is not a U.S. person,
- The income to which this form relates is (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner.

Sign Here Signature of beneficial owner (or individual authorized to sign for beneficial owner)

Date (MM-DD-YYYY)

Capacity in which acting